

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David A. Pears *et al.*

Application No: 10/568,146

371(c) Filing Date: September 25, 2006

Title: *Microencapsulated Catalyst-Ligand System, Methods of Preparation and Methods of Use Thereof*

Examiner: Y. Qian

Art Unit: 1732

Confirmation No.: 7751

Attorney Docket No: **HGX-012.01**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicant hereby makes of record the patent application and publications listed on the accompanying Form PTO/SB/08, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- (1) within three (3) months of the **filng date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office Action** on the merits, or before the mailing of a **first Office Action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
  - the requisite Statement is below, **OR**
  - the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
  - the requisite Statement is below, **AND**
  - the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

Applicant makes of record and discloses to the Examiner the following two co-pending applications.

| <u>Ser. No.</u> | <u>Filing Date</u> | <u>Inventor(s)</u> | <u>Docket No.</u> |
|-----------------|--------------------|--------------------|-------------------|
| 10/483,245      | 07-09-2002         | Ramarao et al.     | HGX-011.01        |
| 12/224,214      | 02-20-2007         | Pears et al.       | HGX-014.01        |

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of any U.S. patents and U.S. patent applications. Applicant submits herewith copies of any foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

It is respectfully requested that each of the patent applications and publications listed on the attached Form PTO/SB/08, and other information contained herein, be made of record in this application.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. **06-1448**, reference Order No. **HGX-012.01**.

Respectfully submitted,

Date: August 29, 2011

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